

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hung-Teh Kao et al.  
U.S. Serial No.: 09/145,864 Examiner: C. Kaufman  
Filed: September 2, 1998 Group Art Unit: 1646  
For: DNA ENCODING A HUMAN SEROTONIN (5-HT<sub>2</sub>)  
RECEPTOR AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
January 24, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

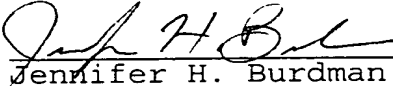
Sir:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted in connection with the above-identified application, has the same information as the "Sequence Listing" attached hereto as **Exhibit B**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

  
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Applicants: Hung-Teh Kao et al.  
Serial No: 09/929,313  
Filed: August 14, 2001  
**Exhibit D**